

Docket No.: R2184.0079/P079-A

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Teruyasu Watabe

Application No.: 09/851,082

Confirmation No.: 2774

Filed: May 9, 2001

Art Unit: 2653

For:

OPTICAL RECORDING/REPRODUCING

APPARATUS WITH APC AND SPS

PROCESSES (AS AMENDED)

Examiner: A. M. Psitos

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

Application No.: 09/851,082 Docket No.: R2184.0079/P079-A

I hereby certify that each item of information contained in this Information

Disclosure Statement was first cited in any communication from a foreign patent office
in a counterpart foreign application not more than three months prior to the filing of
this Information Disclosure Statement.

Applicant has become aware of JP-11-066594, cited in a Japanese Office Action issued October 17, 2005, during the prosecution of JP-2000-222428, which corresponds to the above referenced application. In accordance with 37 CFR 1.97(c) and (e)(1) or (b)(3), Applicant hereby submits this document for the Examiner's consideration. A copy of the Japanese Office Action is enclosed as well.

Applicant has also become aware of JP-11-185275 cited in a Japanese Office Action issued September 27, 2005 in the corresponding Japanese patent application. A copy of the Japanese Office Action is also enclosed.

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

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It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. R2184.0079/P079-A. A duplicate copy of this paper is enclosed.

Dated: December 23, 2005

Respectfully submitted,

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PTO/SB/08a/b (07-05) Approved for use through 07/31/2006. OMB 0651-0031
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o persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/B/PTO Application Number 109/851.082-Conf. #2774 INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Filing Date	May 9, 2001
First Named Inventor	Teruyasu Watabe
Art Unit	2653
Examiner Name	A. M. Psitos
Attorney Docket Number	R2184.0079/P079-A

U.S. PATENT DOCUMENTS					
Examiner	0:1-	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	Cite No.1	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		JP-11-096576	4-1999	Canon Inc		•
		JP-11-066594	3-1999	Nippon Columbia Co., Ltd.		
		JP-11-185275	7-1999	Ricoh Co. Ltd.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date
SignatureC	Considered

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.